

Richard J. Rosensweig

Director

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Richard Rosensweig is a litigator who counsels clients in connection with corporate and business litigation, attorney malpractice defense, and securities.

He represents a variety of corporate clients and law firms before federal and state courts, arbitration panels, the Securities and Exchange Commission and other securities-related organizations.

Richard also advises directors, companies, financial services firms, investment advisers, and insurers in connection with regulatory inquiries, reporting requirements, and insider trading. He is an approved FINRA Dispute Resolution Arbitrator and is fluent in French.

As a leader at the firm, Richard has sat on the firm's Executive Committee and currently serves as the firm's General Counsel.

Accolades

- Martindale Hubbell Peer Review Rated AV Preeminent™

Affiliations

- Richard has served on the Boards of a variety of legal and community organizations, including: Boston Bar Association, Securities Litigation Committee Co-Chair; Meritas, Board of Directors; Boston Children's Hospital, Board of Overseers; Boston Public Library Foundation, Board of Directors; Massachusetts Board of Bar Overseers, Hearing Committee; Raising a Reader Massachusetts, Board of Trustees; and Boston Symphony Orchestra, Business Partners Committee
- FINRA Dispute Resolution Arbitrator
- American Bar Association (Business law Section; Center for Professional Responsibility)
- General Counsel Roundtable (mid-sized firms)

Languages

- French

Admissions

- Massachusetts
- Quebec (non-active)
- U.S. District Court for the District of Massachusetts
- U.S. District Court for the Eastern District of Michigan
- U.S. Court of Appeals, First Circuit
- U.S. Court of Appeals, Second Circuit

Education

- McGill University (B.A., *with great distinction*, 1987)
- McGill University (LL.B., *with honors*, 1991)
 - Top Ten
- McGill University (B.C.L., *with honors*, 1991)
 - Top Ten

Representative Matters

Defense of Legal Malpractice

Representation of national law firms in defense of malpractice and disciplinary claims of conflict of interest.

Representation of Oxfam in Claims Against the SEC

Representation of Oxfam in claims against the SEC to issue new regulations required by the Dodd-Frank Act.

Restructuring Counsel for Archway Marketing Services in Negotiations with Creditors, Litigation, and Merger Transaction

Lead restructuring counsel in representation of Archway Marketing Services including negotiations with creditors, litigation with minority lenders and merger transaction.

Defense of Trade Secrets, Unfair Competition and Appropriation of Client

Defense of the estates of two securities brokers being sued for alleged wrongdoing in connection with trade secrets, unfair competition and appropriation of clients. Successfully achieved the

dismissal of all claims, and also recovered significant attorneys' fees for the client and a small counterclaim.

Legal Malpractice Defense of Lawyers and Law Firms

Defense of lawyers and law firms in legal malpractice lawsuits and bar overseer proceedings arising from legal services in patent prosecution, mergers and acquisitions, tax advice, estate planning and other matters.

Sponsors and Targets in Going Private Transactions

Representation of sponsors and targets in going private transactions involving senior debt financing, sub-debt financing, real estate lender financing, equity financing and management roll-overs.

Defense of Public Companies and Directors and Officers

Defense of public companies and their directors and officers before federal courts around the country in class actions asserting securities fraud.

Defense of Shareholder Suits

Defense of directors and officers in connection with shareholder suits asserting breach of fiduciary duty.

International Agricultural Cooperative Suit

Representation of former outside directors of an international agricultural cooperative sued by an ERISA Plan Administrator and the Department of Labor in connection with the oversight of Plan Trustees.

Sales Practices in Marketing Life Insurance Products

Defense of life insurers regarding sales practices used in marketing life insurance products.

Closely-Held Entity Disputes

Representation of shareholders, directors, managers, and other stakeholders in closely-held entities in disputes.

Shareholder Class Action Suits and Regulatory Investigations

Defense of investment companies in shareholder class action suits and regulatory investigations.

Regulatory and Internal Investigations of Securities Law

Advising independent directors in connection with regulatory and internal investigations of securities law and other violations.

Mergers & Acquisitions Transaction Litigation

Litigating claims arising from M&A transactions.

Publications

October 13, 2021

Goulston & Storrs Defeats Attempt to Enjoin Sogou \$3.5 Billion Merger with Tencent

August 12, 2020

Unauthorized Practice of Law: Rule 5.5 in the Age of COVID-19 and Beyond

American Bar Association

August 6, 2020

Dismissal Argued in Case of Woman With Opioid Use Disorder Who Died in Custody

Massachusetts Lawyers Weekly

August 3, 2020

Springfield Police, Hampden County Sheriff's Department Battle To Dismiss Case Over Death Of Madelyn Linsenmeir

Mass Live

June 15, 2020

Goulston & Storrs Wins Complete Dismissal of Section 11 Class Action Claims Against Sogou and Sohu

October 7, 2019

Goulston & Storrs Wins Stay of Sogou Class Action Litigation in California

June 25, 2019

Preventing Conflicts of Interest in Complex Commercial Litigation

Bloomberg Law

September 13, 2018

In Calif., Questions Remain On Law Firm Conflict Waivers

Law360

September 2018

Professional Liability Alert: California Supreme Court Decides that Ethical Violation Does Not Necessarily Result in Forfeiture of Fees

July 2018

S.D.N.Y. Bankruptcy Court Declines to Enforce Advance Conflict Waiver Against Winston & Strawn Client, Netflix

March 2017

Eleventh Circuit Finds No Coverage for Contempt Proceedings under Lloyd's Professional Liability Insurance Policy

October 2015

What's Market? Update: Litigation