

# Richard J. Rosensweig

*Director*

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Richard Rosensweig is a senior member of the firm's Litigation Group whose practice focuses on defending lawyers and law firms facing professional malpractice claims and disciplinary matters. He also counsels these clients in connection with ethical matters and regulatory investigations. Richard is recognized by Best Lawyers in America as a leading malpractice defense counsel in Massachusetts.

Additionally, Richard has more than thirty years of experience as a corporate and securities litigator, representing companies, corporate boards, and individuals in a wide variety of corporate and securities matters, including the defense of dozens of shareholders class actions lawsuits.

He represents his clients before federal and state courts, arbitration panels, the Securities and Exchange Commission, and other regulators and securities-related organizations.

As a leader at Goulston & Storrs, Richard currently serves as the firm's General Counsel and has sat on the firm's Executive Committee. He is an approved FINRA Dispute Resolution Arbitrator and is fluent in French.

## **Accolades**

- Go To Business Litigation Lawyer, *Mass Lawyers Weekly*, 2024
- Lawdragon, Leading 500 Litigators in America (2022, 2024-2025)
- Best Lawyers in America® (2023-2025): Legal Malpractice – Defendants
- Martindale Hubbell Peer Review Rated AV Preeminent™

## **Affiliations**

- Richard has served on the Boards of a variety of legal and community organizations, including: Boston Bar Association, Securities Litigation Committee Co-Chair; Meritas, Board of Directors; Boston Children's Hospital, Board of Overseers; Boston Public Library Foundation, Board of Directors; Massachusetts Board of Bar Overseers, Hearing Committee;

Raising a Reader Massachusetts, Board of Trustees; and Boston Symphony Orchestra, Business Partners Committee

- FINRA Dispute Resolution Arbitrator
- American Bar Association (Business law Section; Center for Professional Responsibility)
- General Counsel Roundtable (mid-sized firms)

## **Languages**

- French

## **Admissions**

- Massachusetts
- Quebec (non-active)
- U.S. District Court for the District of Massachusetts
- U.S. District Court for the Eastern District of Michigan
- U.S. Court of Appeals, First Circuit
- U.S. Court of Appeals, Second Circuit

## **Education**

- McGill University (B.A., *with great distinction*, 1987)
- McGill University (LL.B., *with honors*, 1991)
  - Top Ten
- McGill University (B.C.L., *with honors*, 1991)
  - Top Ten

## **Representative Matters**

### **Successful Defense of AmLaw 100 firm**

Defended and resolved lawsuit against a global AmLaw 100 firm sued in Massachusetts Federal Court for patent malpractice in connection with medical devices.

### **Summary Judgment Obtained**

Defended AmLaw 50 firm sued for malpractice in connection with an international M&A transaction in two separate state court actions, obtaining dismissal in one case and summary judgment in the other.

### **Legal Malpractice Victory**

Obtained dismissal of a consumer class action lawsuit against an AmLaw 200 firm sued in connection with a failed multilevel marketing company formerly represented by the firm.

### **Insider Trading Suit Resolved**

Investigated and resolved insider trading allegations on behalf of a New England law firm regarding firm employees involved in intellectual property matters for clients, allowing the firm to report to clients and avoid legal action.

### **Settlement Obtained for AmLaw 200 firm**

Favorably resolved investor claims against an AmLaw 200 firm based on the collapse of a venture-funded power generation company allowing the firm to avoid litigation.

### **Defense of Legal Malpractice**

Richard has represented national and regional law firms in defense of malpractice claims of conflict of interest and advised law firms and lawyers on disciplinary matters and regulatory investigations. The lawsuits defended by Richard have included claims concerning patent, tax, trust & estates, corporate, securities, real estate, lobbying, and employment matters.

### **Representation of Oxfam in Claims Against the SEC**

Representation of Oxfam in claims against the SEC to issue new regulations required by the Dodd-Frank Act.

### **Breach of Loan Agreements in Restructuring**

Representation of a provider of marketing logistics, fulfillment services and supply chain management solutions in commercial litigation where minority lenders assert loan agreement breach following the restructuring of the company.

### **Defense of Trade Secrets, Unfair Competition and Appropriation of Client**

Richard obtained a defense arbitration verdict for the estates of two securities brokers sued for alleged wrongdoing in connection with trade secrets, unfair competition and appropriation of clients, successfully finding for the respondents on all claims, and recovering significant attorneys' fees for the client.

### **Dismissal of Section 11 Shareholder Class Action Lawsuits in Multiple Jurisdictions**

Richard obtained dismissal of multiple class action lawsuits filed in state and federal courts asserting claims in connection with the IPO of a foreign issuer of American Depositary Shares allowing the company to settle the matter advantageously.

### **Denial of Shareholder Attempt to Obtain Injunction to Challenging Going Private Merger of Publicly Traded Company**

Richard defeated a shareholder attempt to enjoin the going private merger of a publicly traded company on an interlocutory basis, allowing the transaction to proceed unfettered.

### **International Agricultural Cooperative Suit**

Richard represented former outside directors of an international agricultural cooperative sued by an ERISA Plan Administrator and the Department of Labor in connection with the oversight of Plan Trustees.

**Sales Practices in Marketing Life Insurance Products**

Richard has defended life insurers regarding sales practices used in marketing life insurance products.