Jaclyn Grodin

Counsel

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Jaclyn Grodin is a <u>commercial litigator</u> with fifteen years of experience in the private and public sectors. She represents companies, private equity firms, hedge funds, investment advisers, real estate entities, and individuals in a wide range of business disputes including partnership and investor actions, financial fraud cases, securities-related matters, government investigations, trade secret litigations, and intellectual property controversies.

She excels at understanding clients' business objectives to develop focused and efficient compliance and litigation strategies that achieve their goals, and brings a thoughtful and purposeful approach to every matter.

Prior to joining Goulston & Storrs, Jaclyn spent more than three years as an Assistant Attorney General in the Investor Protection Bureau of the New York State Office of the Attorney General, where she worked on numerous high-profile investigations, including for violations of New York's Martin Act by investment advisers, asset managers, and broker-dealers as well as law enforcement's violation of state and federal constitutional rights. She spent nearly eight years as a commercial litigator in private practice in New York and Boston before joining the NYAG's office.

Jaclyn is an appointed member of the New York City Bar Association Judiciary Committee, which evaluates candidates for election, reelection, appointment, reappointment, designation, and certification to judicial office in state and federal courts in New York City. She also serves on the Board of Directors of NADAP, Inc., a non-profit organization that provides wellness and workforce development services to underserved New Yorkers.

Accolades

- City & State, Responsible 100, 2023
- Litigation Trailblazer, The National Law Journal, 2022
- Super Lawyers Rising Stars, 2013-2017
- Louis J. Lefkowitz Award (2), New York State Office of the Attorney General (2021)

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Affiliations

- · Member, Judiciary Committee, New York City Bar Association, 2021-present
- Board of Directors, NADAP, Inc., 2019-present
- New York State Bar Association, Commercial & Federal Litigation Section, 2012-present;
 Secretary, 2013-2015

Admissions

- New York
- Massachusetts
- · U.S. Supreme Court
- United States Court of Appeals for the Second and Third Circuits

Education

- Boston College Law School (J.D.)
 - Kimberly Baker Irvin Award for Exceptional Leadership
- New York University (B.A., magna cum laude)

Representative Matters

Claims Arising from a Private Equity M&A Transaction

Representation of a private equity portfolio company in pursuing claims for breaches of representations and warranties against defendants who concealed tens of millions of dollars in employment tax liability during an M&A transaction.

Investigation and Trial of Private Equity Firm, Investment Adviser, and Broker-Dealer for New York Martin Act Violations

Led investigation and trial against private equity firm, investment adviser, and broker-dealer in connection with violations of New York's Martin Act arising out of misrepresentations to investors, misappropriation of fund assets, valuation of portfolio assets, and conflicts of interest. Obtained finding of liability, permanent injunction, and nearly \$8 million in restitution for defrauded investors after bench trial.

Successful Investigation of Large U.S. Retirement Asset Manager Subsidiary Resulting in Major Reform and Restitution

Investigated subsidiary of one of the largest U.S. retirement asset managers regarding managed account products, leading to the organization's agreement to make major reforms and pay \$97



million in restitution to thousands of customers misled into moving retirement investments into higher-fee managed accounts.

Investigation Concerning Alleged New York City Police Department Misconduct and Federal Court Litigation

Investigated alleged misconduct of New York City Police Department in connection with 2020 social justice protests, prepared preliminary public report of investigative findings on behalf of the New York Attorney General, and worked on team litigating related claims in New York federal court.

Individual and Entity Representation for Project Management Relating to Federal EB-5 Immigrant Investor Program

Represented individuals and entities in connection with management of projects related to federal EB-5 Immigrant Investor Program in parallel investigations by the SEC and state regulatory agency.

Representation of Private Equity and Hedge Funds

Represented private equity and hedge funds in connection with portfolio company disputes, activities arising from shareholder activism campaigns, in state and federal courts, and Delaware Court of Chancery.

Hedge Fund and Investment Adviser Representation in Madoff-related LitigationsRepresented hedge funds and investment advisers in multi-jurisdictional litigations asserting Madoff-related claims.

Lead Counsel on Trial Team for Dissolution of New York State Real Estate LLCServed as lead counsel on trial team in dissolution of real estate LLC in New York state court arising out of a breach of a real estate partnership agreement and oral joint venture.

Representation of Related Entities and Principal in Wind-Down of Business Claims Represented related entities and principal in claims arising out of wind-down of business, including unfair employment practices, wage and hour, and insurance coverage issues.

Successful Representation of National Travel Center Owner in New York Federal Court
Served on trial team representing national travel center owner and operator in multi-million dollar
jury trial in New York federal court, resulting in dismissal of all claims.